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PTO/SB/21 (02-04)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/672688
	Filing Date	9/26/2003
	First Named Inventor	Irlam et al.
	Art Unit	2681
	Examiner Name	Not yet assigned
Total Number of Pages in This Submission	Attorney Docket Number	68131261.1101

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Brian C. McCormack, Reg. No. 36,601 Baker & McKenzie LLP
Signature	<i>Brian C. McCormack</i>
Date	5/29/2005

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
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Attorney Docket No.  
68131261.1101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Irlam, *et al.*

Serial No.: 10/672,688

Filed: September 26, 2003

For: **Value-Added Electronic Messaging  
Services and Transparent Implementation  
Thereof Using Intermediate Server**

) Group Art Unit: 2681

) Examiner: Unassigned

\_\_\_\_\_  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant(s) hereby notify the U.S. Patent and Trademark Office of the document(s) which is/are listed on the attached PTO-SB/08A and PTO-SB/08B forms.

  X   (1) This Information Disclosure Statement does NOT require a fee or 37 C.F.R. § 1.97(e) Statement because it is being filed (check all that apply):

\_\_\_\_ (a) Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); **OR**

\_\_\_\_ (b) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; **OR**

  X   (c) Before the mailing of a first Office action on the merits; **OR**

\_\_\_\_ (d) Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

\_\_\_\_ 2) This Information Disclosure Statement is filed before the mailing date of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and (check only one):

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The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant(s) does/do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. In the event that fees are due in connection with this Information Disclosure Statement, please charge Deposit Account No. 13-0480 (reference 68131261.1101).

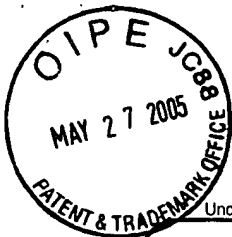
Respectfully submitted,



Brian C. McCormack  
Reg. No. 36,601  
Attorney for Applicants

Date: 5/25/2005

**Baker & McKenzie LLP**  
2300 Trammell Crow Center  
2001 Ross Avenue  
Dallas, TX 75201  
(214) 978-3007 (direct)  
(214) 978-3099 (facsimile)



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/672688
Filing Date	9/26/2003
First Named Inventor	Gordon Irlam et al.
Art Unit	2681
Examiner Name	Not yet assigned
Attorney Docket Number	68131261.1101

Sheet 1 of 4

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5742668	04-21-1998	Pepe et al.	
		US- 6574658	06-03-2003	Gabber et al.	
		US- 6711618	03-23-2004	Danner et al.	
		US- 6112227	08-29-2000	Heiner et al.	
		US- 6487586	11-26-2002	Ogilvie et al.	
		US- 6615258	09-02-2003	Barry et al.	
		US- 5771355	06-23-1998	Kuzma et al.	
		US- 6868498	03-15-2005	Katsikas	
		US- 6321267	11-20-2001	Donaldson	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		WO 00/42747	07-20-2000	Postel Services		
		WO 99/67731	12-29-1999	Microsoft Corpo		
		WO 97/12321	04-03-1997	Trend Micro, In		
		WO 99/65256	12-16-1999	Logica, Inc.		

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		Application Number	10/672688
		Filing Date	9/26/2003
		First Named Inventor	Gordon Irlam et al.
		Art Unit	2681
		Examiner Name	Not yet assigned
Sheet 2	of 4	Attorney Docket Number	68131261.1101

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	CVS.SOURCEFORGE.NET, "Spam Filtering ESMTP Demon", copyright notice dated 2000, publ. at <a href="http://cvs.sourceforge.net/viewcvs.py/clocc/clocc/src/donc/smt-p.lisp?rev=1.4">http://cvs.sourceforge.net/viewcvs.py/clocc/clocc/src/donc/smt-p.lisp?rev=1.4</a> .	
	2	Bounce Spam Mail, from Albert Yale Software, dated 1997-2000.	
	3	CSM Internet Mail Scanner, from CSM-USA, Inc., dated 1999.	
	4	CyberSitter AntiSpam, from CyberSitter.com, distributed by Solid Oak Software, circa 1999-2000.	
	5	DL MailFilter, from DeadLetter and Leem Han Cheong, dated Nov. 1999.	
	6	E-Mail Chomper, from Lorenzo Pasqualis, dated 1996-97.	
	7	E-Mail Remover, from Victor Javier, Virtual Network, Inc., Singapore, dated Mar.-Jul. 1998, and 1999.	
	8	FlameThrower, from Eagle Research, Inc., dated 2000.	
	9	Interceptor, from Grok Development Ltd., dated 1999-2000.	
	10	JOC E-Mail Checker, from JOCSOFT and Jose Olice Civit, dated 2000.	

Examiner Signature	Date Considered
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		Filing Date	9/26/2003		
		First Named Inventor	Gordon Irlam et al.		
		Art Unit	2681		
		Examiner Name	Not yet assigned		
Sheet	3	of	4	Attorney Docket Number	68131261.1101

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	11	Lyris MailShield, from Lyris, undated.	
	12	Quickhead-E, from Danere Software Innovations, dated Mar. 2000.	
	13	Spam Attack Pro, circa 1996-97, from softwiz.com.	
	14	Spam Buster, from Contact Plus Corp., dated 2000.	
	15	SpamEater, from High Mountain Software, dated 1997-2000.	
	16	BrightMail, from BrightMail, Inc., dated 1997-2000.	
	17	Praetor, from Computer Mail Services, Inc., circa 1998-99.	
	18	"MsgTo.com Stops Spam Email", web page circa Nov. 19, 1999, from www.applesforhealth.com.	
	19	"The Species Filter", by Rafe Needleman, ed., dated Aug. 6, 1999, from www.RedHerring.com.	
	20	Official Sep. 1999 AUP (Auto Update Program) v5.0 Build 447, Product Update Release, winserver.com.	

Examiner Signature	Date Considered
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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		Art Unit	2681
		Examiner Name	Not yet assigned
Sheet 4	of 4	Attorney Docket Number 68131261.1101	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	21	Supplementary European Search Report, EP 01977347 dated April 7, 2005.	

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